

Overview of Services for Retirement Plans

1. Plan and Vendor Evaluation

- Assess client's current plan and provider
- Solicit proposals from appropriate high-quality provider(s)
- Evaluate proposals based on criteria including:
 - a) Service model
 - b) Investments
 - c) Fiduciary support
 - d) Fees
- Invite selected provider(s) to make detailed presentations

2. Implementation – Work with selected plan provider to:

- Review/revise plan document
- Suggest investment portfolio
- Design enrollment and education strategy
- Coordinate plan transition from prior provider

3. Ongoing Services

- Annual Plan Review
 - a) Assess plan operation and performance
 - b) Review investments
 - c) Design ongoing education and re-enrollment
- Client Advocacy
 - a) Plan sponsor service support
 - b) Problem resolution